

02-04-02

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# UTILITY PATENT APPLICATION TRANSMITTAL

Our Docket No.: **M81.12-0058**Date: **October 24, 2001**First Named Inventor: **Christopher Lee Berg**Title: **APPARATUS FOR RETAINING OTHERWISE HAND-HELD RETRACTORS**Express Mail No.: **EL705877672US**

## APPLICATION ELEMENTS

ADDRESS TO:

 Assistant Commissioner for Patents  
 Box Patent Application  
 Washington, D.C. 20231

 1. ☐ Fee Calculation Sheet  
 (Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status
☒ Specification Total Pages [12]

- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed. Sponsored R&D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claims
- Abstract of the Disclosure

3. ☒ Drawings (35 U.S.C. 113)

Total Sheets [3]

4. ☐ Oath or Declaration

Total Pages [ ]

- a. ☐ Newly Executed (original or copy)
- b. ☐ Copy from a prior application (37 C.F.R. 1.63(d) - for continuation/divisional with Box 18 completed)

[Mark Box 5 below]

I. ☐ DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b)

6. ☒ Application Data Sheet. See 37 CFR 1.76.

- 7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- 8. Nucleotide and/or Amino Acid Sequence Submission (If applicable, all necessary)
  - a. ☐ Computer Readable Copy (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies; or
    - ii. ☐ Paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

- 9. ☐ Assignment Papers (cover sheet & document(s))
- 10. ☐ 37 C.F.R. 3.73(b) Submission
- 11. ☐ Power of Attorney
- 12. ☐ English Translation Document (if applicable)
- 13. ☐ Information Disclosure Statement with copies of Citations as necessary
- 14. ☐ Preliminary Amendment Total Pages [ ]
- 15. ☒ Return Receipt Postcard (Should be specifically itemized)
- 16. ☐ Certified Copy of Priority document(s) (If foreign priority is claimed)
- 17. ☐ Request and Certification under 35 U.S.C.122(b)(2)(B)(i) (Nonpublication Request)
- 18. ☐ Other

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76.

☐ Continuation ☐ Division ☐ Continuation-in-part (CIP) of prior Application No.

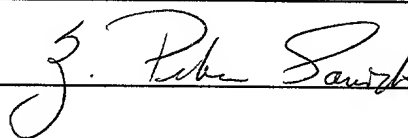
Prior Application Information: Examiner \_\_\_\_\_ Group Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only. The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts

## 19. CORRESPONDENCE ADDRESS

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